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**To:** Board of Visitors

Subject: Report of open contracts entered into subject to the Code of Virginia "State and Local

Government Conflict of Interests Act" ("the Act") § 2.2-3106 C. 8.

There were five contracts entered into at the time of this report from 4/30/2024 - 6/30/2024 subject to the Act's exception for prohibited contracts involving research and development or commercialization of intellectual property. Details as per the Act § 2.2-3106 E. are included below.

| Contract               | 1 of 5  |
|------------------------|---|
| Open contract number   | PG5UM3ES  |
| Names of parties       | Virginia Tech and S1P Therapeutics Inc.   |
| Date contract executed | 06/21/24  |
| Contract term          | 10/01/2023 – 03/31/2025   |
| Subject of contract    | "Blocking the S1P Pathway for Chronic Kidney Disease Therapeutics"  |
| Nature of COI          | Webster Santos, Professor in the Department of Chemistry, has disclosed that he holds an equity interest in S1P Therapeutics Inc. that exceeds 3% and serves as a principal for the company. Webster Santos developed novel technology utilized by this research, which is jointly owned by Virginia Tech and the University of Virginia and licensed to S1P Therapeutics Inc. Virginia Tech has received an award from the Virginia Biosciences Health Research Corporation that includes a subaward to S1P Therapeutics Inc. Webster Santos is the Principal Investigator for Virginia Tech. In the context of sponsored research, this financial interest represents a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity. |

| Institution employee responsible for administering contract            | James R. Heflin, contract administration signatory Co-Interim Director, Virginia Tech Office of Sponsored Programs |
|--|--|
| The institution's commitment of resources or finances for the contract | N/A  |
| Details of how revenues are to be dispersed                            | N/A (no revenues will be generated)  |

| Contract   | 2 of 5  |
|--|---|
| Open contract number   | PM7SDDB2  |
| Names of parties   | Virginia Tech and Zebra Analytix Inc  |
| Date contract executed   | 06/03/2024  |
| Contract term  | 01/08/2024 - 07/07/2025   |
| Subject of contract  | "SPOCK: Size-segregated Particle Odor Chromatographic Kernel"   |
| Nature of COI  | Masoud Agah, Professor in the Department of Electrical and Computer Engineering, has disclosed that he holds an equity interest in Zebra Analytix Inc exceeding 3% and serves on the company's Board of Directors. Masoud Agah developed novel technology utilized by this research, which is owned by Virginia Tech and licensed to Zebra Analytix Inc. Virginia Tech has received an award from the Intelligence Advanced Research Projects Activity that includes a subaward to Zebra Analytix Inc. Masoud Agah will serve as the Principal Investigator for Virginia Tech. In the context of sponsored research, this financial interest represents a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity. |
| Institution employee responsible for administering contract            | James R. Heflin, contract administration signatory Co-Interim Director, Virginia Tech Office of Sponsored Programs  |
| The institution's commitment of resources or finances for the contract | N/A   |

| Details of how revenues are | N/A (no revenues will be generated) |
|-----------------------------|-------------------------------------|
| to be dispersed             |                                     |
|                             |                                     |

| Contract   | 3 of 5  |
|--|---|
| Open contract number   | PTM5EO4L  |
| Names of parties   | Virginia Tech and The Bulls Run Group   |
| Date contract executed   | 04/15/2024  |
| Contract term  | 01/15/2024 - 07/21/2025   |
| Subject of contract  | "Reimagining Security with Cyberpsychology-Informed Network Defenses (ReSCIND)"   |
| Nature of COI  | Stoney Trent, Research Professor for the Virginia Tech National Security Institute, has disclosed that he holds an equity interest exceeding 3% in The Bulls Run Group, LLC and serves as president for the company. The Bulls Run Group, LLC has received an award from the Intelligence Advanced Research Projects Activity that includes a subaward to Virginia Tech. Nathan Ka Ching Lau, Associate Professor in the Department of Industrial and Systems Engineering, will serve as the Principal Investigator for Virginia Tech and has no relationship with The Bulls Run Group, LLC. Stoney Trent will serve as the Principal Investigator for the Bulls Run Group, LLC and will not participate on the Virginia Tech side of the project. In the context of sponsored research, this financial interest represents a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity. |
| Institution employee responsible for administering contract            | James R. Heflin, contract administration signatory Co-Interim Director, Virginia Tech Office of Sponsored Programs  |
| The institution's commitment of resources or finances for the contract | N/A   |
| Details of how revenues are to be dispersed                            | N/A (no revenues will be generated)   |

| Contract   | 4 of 5  |
|--|---|
| Open contract number   | PRIE3PYG  |
| Names of parties   | Virginia Tech and the National Audubon Society  |
| Date contract executed   | 06/11/2024  |
| Contract term  | 11/01/2023 – 05/15/2025   |
| Subject of contract  | "Applying Social and Biological Sciences to Prevent Human Disturbance of Atlantic Flyway Shorebirds-IV"   |
| Nature of COI  | Ashley Dayer, Associate Professor in the Department of Fish and Wildlife Conservation, has disclosed consulting for the National Audubon Society and receipt of payments exceeding \$5,000 in the past 12 months. Virginia Tech has received an award from the National Fish and Wildlife Foundation that includes a subcontract to the National Audubon Society. Ashley Dayer is serving as the Principal Investigator for Virginia Tech. In the context of sponsored research, this financial interest represents a conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity. |
| Institution employee responsible for administering contract            | James R. Heflin, contract administration signatory Co-Interim Director, Virginia Tech Office of Sponsored Programs  |
| The institution's commitment of resources or finances for the contract | N/A   |
| Details of how revenues are to be dispersed                            | N/A (no revenues will be generated)   |

| Contract             | 5 of 5                                   |
|----------------------|--|
| Open contract number | PCYHXSPJ                                 |
| Names of parties     | Virginia Tech and Daguna Consulting, LLC |

| Date contract executed   | 04/02/2024   |
|--|--|
| Contract term  | 11/03/2023 - 09/30/2028  |
| Subject of contract  | "Monitoring Federally-listed Freshwater Mussels and Population<br>Restoration Efforts across the Upper Tennessee River Basin in<br>Virginia and Tennessee"   |
| Nature of COI  | Caitlin Carey, Project Associate with the Conservation Management Institute, has disclosed that she holds an equity interest in Daguna Consulting, LLC exceeding 3% and has received payments in excess of \$5,000 in the past 12 months from the company. Virginia Tech has received awards from the United States Fish and Wildlife Service and the Virginia Department of Wildlife Resources that include subcontracts to Daguna Consulting, LLC. Scott Klopfer, Director of the Conservation Management Institute, is the Principal Investigator for Virginia Tech. Caitlin Carey will serve as a co-Investigator for Virginia Tech. In the context of sponsored research, these financial interests represent a financial conflict of interest with state law implications. The Research Conflict of Interest Program has implemented a management plan to promote objectivity. |
| Institution employee responsible for administering contract            | Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs  |
| The institution's commitment of resources or finances for the contract | N/A  |
| Details of how revenues are to be dispersed                            | N/A (no revenues will be generated)  |